

Report to the Los Angeles City Ethics Commission on violations of
the revolving door ordinance by Marie Rumsey



February 16, 2016

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1 The Law

1. Section 49.5.2.A of the Los Angeles Municipal Code states that:
 - A. "Agency" means the City of Los Angeles or any City department, bureau, office, board, commission, or entity required to adopt a conflict of interests code subject to City Council approval. With respect to employees of a City Council member's staff and employees of the Chief Legislative Analyst's office, "agency" means the City Council.
2. Section 49.5.13.C of the Los Angeles Municipal Code states that:

The following time-based restrictions on lobbying activities apply to former City officials.

 1. For one year after leaving City service, a City official shall not receive compensation to attempt to influence, either personally or through an agent, City action on any matter pending before any agency on behalf of a person other than an agency if, during the 24 months preceding the official's departure from City service, the official held any of the following positions: ... Council Aide VII.
 2. For one year after leaving City service, all other former City officials shall not receive compensation to attempt to influence, either personally or through an agent, City action on any matter pending before an agency in which the City official served during the 24 months preceding the official's departure from City service on behalf of a person other than an agency. Serving an agency means being directly employed by or being assigned or on loan to that agency.

2 The Facts

3. According to current Council District 13 field deputy Dan Halden, Marie Rumsey was employed by Council District 13 as a Council Aide VII through December, 2014. See Appendix 1 (p.3).
4. Marie Rumsey submitted a speaker card at the Los Angeles City Council Meeting on June 16, 2015 to speak on Council File 14-1656 on homeless people's property. This card identified her as a representative of the Central City Association. See Appendix 2 (p.5).
5. Marie Rumsey spoke at a meeting held by the Los Angeles Chief Legislative Analyst on June 25, 2015 for the purpose of gathering public opinion on Council File 13-1493 on street vending. At that time she stated that she was representing the Central City Association and the Downtown Center Business Improvement District. A transcription of her remarks can be found in Appendix 3 (p.7).
6. Marie Rumsey submitted a speaker card at the City Council's Economic Development Committee meeting on October 27, 2015 to speak on Council File 13-1493 on street vending. This card identified her as a representative of the Central City Association. See Appendix 4 (p.9).

7. Throughout 2015 Marie Rumsey was employed as a registered lobbyist by the Central City Association. See Appendices 5 and 6 (pp.11, 13).

3 Conclusions

8. On at least three occasions in 2015 within a year of being employed as a Council Aide VII (see paragraph 3), Marie Rumsey attempted to influence City action on at least two pending Council files (see paragraphs 4, 5, and 6 above), and was compensated for these efforts by the Central City Association (see paragraph 7). These actions constitute violations of §49.5.13.C.1 of the Los Angeles Municipal Code.
9. The agency Ms. Rumsey attempted to influence on these three occasions was the City Council, an agency for which she had served in the 24 months preceding her actions. Thus these actions also constitute violations of §49.5.13.C.2 of the LAMC.

4 Appendices

4.1 Appendix 1

Re: Public Records Act request (CD13.2015.12.07)

From: Dan Halden <daniel.halden@lacity.org>
To: [REDACTED]
Subject: Re: Public Records Act request (CD13.2015.12.07)
Date: Tuesday, December 08, 2015 3:42 PM
X-Delivered-To: [REDACTED]
Size: 11 KB

Hi [REDACTED]

Marie Rumsey was a Council Aide VII with CD 13 at the time she left, which was December 2014.

Dan Halden

Hollywood Field Deputy
Office of Councilmember Mitch O'Farrell
1722 Sunset Boulevard, Los Angeles, CA 90026
(213) 207-3015 | www.cd13.com

Find the Councilmember on: [YouTube](#)

On Mon, Dec 7, 2015 at 7:42 AM, <[REDACTED]> wrote:

Dear Dan,

I'm wondering what Marie Rumsey's rank (not job title, but actual rank, e.g. Council Aide VI or whatever) was at the time she left CD13 for CCA. So I'm requesting documents that will answer this question. Or, if you happen to know or can find out and just want to tell me, that would certainly be sufficient.

Thanks!

[REDACTED]

4.2 Appendix 2

CITY OF LOS ANGELES SPEAKER CARD

NOTE: THIS IS A PUBLIC DOCUMENT SUBJECT TO POSTING ON THE CITY'S WEBSITE. YOU ARE NOT REQUIRED TO PROVIDE PERSONAL INFORMATION IN ORDER TO SPEAK, EXCEPT TO THE EXTENT NECESSARY FOR THE PRESIDING OFFICER TO CALL UPON YOU

Date 6-16-15

THE CITY COUNCIL'S RULES OF DECORUM WILL BE ENFORCED.

Council File No., Agenda Item, or Case No. 5

I wish to speak before the City Council
Name of City Agency, Department, Committee or Council

Do you wish to provide general public comment, or to speak for or against a proposal on the agenda? For proposal
 Against proposal
 General comments

Name: Francis Pembury

Business or Organization Affiliation: CCA

Address: 626 Wilshire Blvd. # 200 LA
Street City State Zip

Business phone: 213/916-7573 Representing: _____

CHECK HERE IF YOU ARE A PAID SPEAKER AND PROVIDE CLIENT INFORMATION BELOW:

Client Name: _____ Phone #: _____

Client Address: _____
Street City State Zip

Please see reverse of card for important information and submit this entire card to the presiding officer or chairperson.

4.3 Appendix 3

This is a transcript of Marie Rumsey's comments at the June 25, 2015 meeting on street vending held by the Chief Legislative Analyst's office. The original can be found at <http://sidewalkvending.lacity.org/html/audioVideo.html>
Ms. Rumsey's comments begin at 49:38

Hi, good evening, my name is Marie Rumsey and I'm here on behalf of the Central City Association and the Downtown Center Business Improvement District. CCA represents approximately 350 businesses and the Downtown Center BID represents approximately 1700 property owners, and together we are proud members of the Coalition to Save Small Business. At the last community meeting on June 18th, the office of the Chief Legislative Analyst presented three models regarding a sidewalk vending program. Model one maintains the status quo while increasing enforcement, penalties, and fines. Model two is based on the City Council adopting a sidewalk vending program. Model three is community-driven and asks community members to develop a sidewalk vending program. None of these models take into account the broad policy considerations to establish a sidewalk vending program. We recommend that the City review the existing special sidewalk vending program and determine what works and what does not work. Communities should be able to opt out of the sidewalk vending district if they choose to do so, and communities should be able to determine how many vendors are appropriate for their neighborhood. There should also be a mechanism to determine what kind of goods are sold and protections for local businesses. Existing brick-and-mortar establishments should have the ability to approve if a vendor can set up in front of their business and vendors must have liability insurance to indemnify property owners. These components, along with enforcement, are essential to a successful sidewalk vending program. Thank you very much.

4.4 Appendix 4

CITY OF LOS ANGELES SPEAKER CARD

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YOU ARE NOT REQUIRED TO PROVIDE PERSONAL INFORMATION IN ORDER TO SPEAK,
EXCEPT TO THE EXTENT NECESSARY FOR THE PRESIDING OFFICER TO CALL UPON YOU**

Date
10-22-15

**THE CITY COUNCIL'S RULES OF
DECORUM WILL BE ENFORCED.**

Council File No., Agenda Item, or Case No.
TA 1

I wish to speak before the ED Committee
Name of City Agency, Department, Committee or Council

Do you wish to provide general public comment, or to speak for or against a proposal on the agenda? () For proposal
() Against proposal
() General comments

Name: Marcie Ramsey

Business or Organization Affiliation: CCA

Address: 626 Wilshire Blvd # 200
Street City State Zip

Business phone: 213/916-7513 Representing: _____

CHECK HERE IF YOU ARE A PAID SPEAKER AND PROVIDE CLIENT INFORMATION BELOW:

Client Name: _____ Phone #: _____

Client Address: _____
Street City State Zip

Please see reverse of card for important information and submit this entire card to the presiding officer or chairperson

4.5 Appendix 5



Filer Information

Original

NAME OF LOBBYIST Marie Rumsey	DATE QUALIFIED: Jan 01, 2015
BUSINESS ADDRESS (street address redacted)	PHONE NUMBER (213) 624-1213
CITY, STATE, ZIP CODE: Los Angeles, CA 90017	FAX NUMBER (213) 624-0858
E-MAIL MRumsey@ccala.org	

I. Lobbyist Affiliation

- I am a LOBBYIST SOLE PROPRIETOR - an independent contract (owner) lobbyist with one or more municipal lobbying clients.
- I am an owner, partner, shareholder, officer, or employee of a LOBBYING FIRM.
- I am employed by a LOBBYIST EMPLOYER.

Central City Association of Los Angeles

Name of Lobbyist Employer

II. CITY AGENCIES AUTHORIZED TO LOBBY

- I am authorized to lobby any City Agency
- I am authorized to lobby **only** the agencies identified below:

III. VERIFICATION

I have used all reasonable diligence in preparing this form. I have reviewed the form and the Lobbyist Statement of Understanding (next page) and to the best of my knowledge the information contained herein is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

10-Feb-15

Marie Rumsey (e-signed)

Executed On: (Date)

By: (Signature of Lobbyist)

4.6 Appendix 6



Ethics Commission
 200 N Spring Street
 City Hall - 24th Floor
 Los Angeles, CA 90012
 (213) 978-1960
 ethics.lacity.org

LOBBYIST EMPLOYER REGISTRATION FORM

CEC Form 31-LE

Filer Information

Amendment 6

NAME OF LOBBYIST EMPLOYER: Central City Association of Los Angeles	DATE QUALIFIED AS LOBBYIST EMPLOYER: Jan 01, 2015
BUSINESS ADDRESS: (Number and Street) (street address redacted)	
CITY, STATE, ZIP CODE: Los Angeles, CA 90017	TELEPHONE NUMBER: (213) 624-1213
E-MAIL: sshin@kaufmanlegalgroup.com	FAX NUMBER: (Optional) (213) 624-0858

I. INDIVIDUAL LOBBYISTS

Ezra Gale (Termed: Feb 27)	John Howland
Name	Name
Aaron Jimenez	Marie Rumsey
Name	Name

II. PROJECTS/AGENCIES

Agencies to be lobbied ANY CITY AGENCY
Municipal Legislation (1) 1130 S. Flower Street (2) 1130 S. Hope Street (3) 213 Ventures (4) 7th Street Bike Lanes (5) 819 S. Santee Historic Designation (6) AB 1839 Film Tax Credits (7) Affordable Housing Requirements (8) Arts District Live/Work Ordinance (9) Bay Delta Conservation Plan (10) Bicycle Planning (11) Bike Planning Issues (12) BikeShare Plan (13) BNSF SCIG Project (14) Broadway Bridge (15) Broadway Streetscape Plan (16) Business Improvement Districts (17) Business Tax (18) Carshare/TNC Programs (19) Carshare/TNC Programs (20) Case Hotel (21) Cecil Hotel (22) CEQA Reform (23) City Budget Fiscal Year 2014-15 (24) City Divestiture from JP Morgan Chase (25) City of LA Fair Chance Initiative (26) Citywide Health Commission (27) Citywide Minimum Wage - Wage Theft Enforcement Issues (28) Citywide WiFi (29) Clean Up Green Up (30) Community Care Facilities Ordinance (31) Comprehensive Jobs Plan (32) Condition Compliance Unit (33) Conditional Use Permit (34) Construction Impact Areas (35) Convention Center Modernization (36) Council's Jobs Program (37) Creation of City Health Department (38) Da Vinci Project at 900 and 909 Temple (39) Development Impact Fee Audit (40) Development Reform (41) Disabled Parking Placard Reform



LOBBYIST EMPLOYER REGISTRATION FORM

CEC Form 31-LE

- (42) Downtown Community Plan Update
- (43) Downtown Development Code
- (44) Downtown LA Mobility Plan
- (45) Downtown Parking Issues
- (46) Downtown Street Car
- (47) Downtown Tree Issues
- (48) Economic Development
- (49) Education Issues
- (50) Enterprise Zones
- (51) Environmental Analysis
- (52) Environmental Analysis as part of SB743
- (53) Equity Day
- (54) Farmers Field/Convention Center
- (55) Filming Issues
- (56) Fix It LA coalition reports
- (57) Foreclosure Registry
- (58) General Advocacy
- (59) General Economic Development Issues
- (60) General Planning Issues
- (61) Grand Ave Project
- (62) Grand Hope Park
- (63) Gross Receipts Tax (on BioMed Facilities)
- (64) Health and Wellness Chapter
- (65) Helipad Policy
- (66) Homeless Issues
- (67) Homelessness
- (68) Hotel Incentive Program
- (69) Hotel Living Wage
- (70) Housing Element Update
- (71) Housing Issues
- (72) Hybrid Industrial Live Work Ordinance
- (73) Inclusionary Zoning
- (74) Interim Control Ordinance
- (75) LA Live
- (76) LA River
- (77) LA Streetcar
- (78) LAX Modernization
- (79) Legalized Sidewalk Vending Ordinance
- (80) Legalized Sidewalk Vending Ordinance
- (81) Mack Urban Projects
- (82) Master Planned Development
- (83) Mayor's Seismic Plan (PACE Funding Issue)
- (84) Mayor's Water & Energy Sustainability & Efficiency Plans
- (85) Metropolis
- (86) Minimum Wage
- (87) Mobility Element Task Force
- (88) Mobility Plan
- (89) Mural Ordinance
- (90) My Figueroa Plan
- (91) NOFA Funding
- (92) Notice of Demolition
- (93) Olympics Bid
- (94) Onni Project
- (95) Parking Occupancy Tax
- (96) Pershing Square Renew
- (97) Planning Budget
- (98) Planning/DBS Realignment
- (99) Public Food Distribution Ban
- (100) Quimby Reform
- (101) Quimby Reform
- (102) Realignment (DBS/Planning Merger)
- (103) Regional Connector Station at 2nd and Hope Streets
- (104) Responsible Banking Ordinance
- (105) Restaurant and Hospitality Express
- (106) Road Diets/City Bike Plan
- (107) San Onofre Nuclear Power Plant
- (108) SCIG
- (109) Seismic Retrofit/PACE loans



Ethics Commission
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LOBBYIST EMPLOYER REGISTRATION FORM **CEC Form 31-LE**

- (110) Sidewalk Repair
- (111) Sign Ordinance
- (112) Single Use Plastic Bag Ban
- (113) Small Lot Subdivision
- (114) Southeast LA Community Plan
- (115) Spring Street Bike Lanes
- (116) Street Repair Bond
- (117) Street Vending
- (118) Streets of Significance
- (119) TFAR
- (120) Tree Issues
- (121) TV & Movie Filming Issues
- (122) Walmart Appeals
- (123) Waste Hauling Franchise
- (124) Wyvernwood
- (125) Zoning Code Reform

III. VERIFICATION

I have used all reasonable diligence in preparing this form and any attachments. I have reviewed the form and all the attachments and to the best of my knowledge the information contained herein is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

28-Jan-16

Carol Schatz (e-signed)

Executed On: (Date)

By: (Signature of Authorized Person)